

Arithmetic Coding

Expository Presentation

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Problem Statement

- Consider the source alphabet $\{a_1, a_2, a_3\}$ with probabilities $P(a_1) = 0.95$, $P(a_2) = 0.02$, and $P(a_3) = 0.03$.
- Source entropy = 0.335 bits/symbol
- Avg code length per symbol using Huffman coding = 1.05 bits.
- By coding in blocks of two symbols we achieve 0.611 bits/symbol.
- For block length = 8, possible combinations = $3^8 = 6561$!

Arithmetic Coding

- Generate codewords for the entire letter sequence instead of for each block.
- Unique tag generation.
- Correct decoding.

Coding a Sequence

- Very large number of possible message sequences.
- Need very large number of values (infinite) to generate unique tags.
- One possible range: $[0, 1)$.

Generating a Tag

- Can we assign a unique sub-interval that respects the order of our sequence (and generate a tag from it)?
- Use the cumulative distribution function and partition the interval $[0, 1)$.
- From the message sequence, associate each symbol a_k with the sub-interval $[F_X(k-1), F_X(k))$.
- Partition the sub-interval in the same way as the unit interval.

Example

Consider the source:

$\mathcal{A} = \{a_1, a_2, a_3\}$ with $P(a_1) = 0.7$, $P(a_2) = 0.1$, and $P(a_3) = 0.2$.

Cumulative distribution function: $F_X(1) = 0.7$, $F_X(2) = 0.8$, and $F_X(3) = 1$.

Associated intervals: $\{a_1 : [0.0, 0.7)$, $a_2 : [0.7, 0.8)$, $a_3 : [0.8, 1)\}$.

Partition the unit interval.



Figure: Partition the unit interval

Example: Finding Sub-intervals

Suppose the first symbol in the sequence is a_1 .



Figure: First sub-interval

Example: Finding Sub-intervals

Suppose the first symbol in the sequence is a_1 .

We then divide this sub-interval in the same proportion as the original interval.

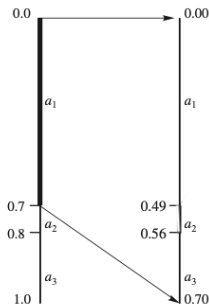


Figure: Partition the first sub-interval

Example: Finding Sub-intervals

Suppose the second symbol is a_2 .

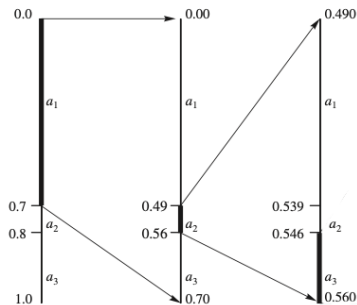


Figure: Partition the second sub-interval

Example: Finding Sub-intervals

The last symbol in the message is a_3 .

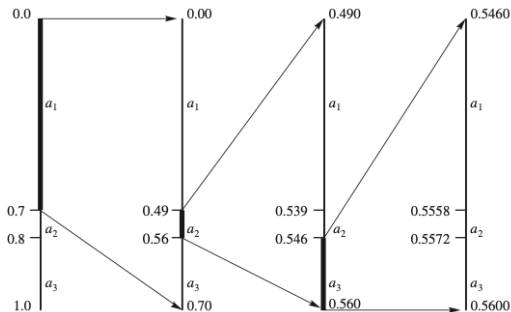


Figure: Partition the second sub-interval

A unique sub-interval is selected at each step, and it respects the order of the sequence. Select a tag from the final interval. The binary representation of the tag gives a unique encoding for the sequence.

Example: Updating the Interval Bounds

After the n^{th} symbol in the sequence has been generated, the upper and lower limits of the updated sub-interval $[l^{(n)}, u^{(n)}]$ will be:

$$l^{(n)} = l^{(n-1)} + (u^{(n-1)} - l^{(n-1)})F_X(x_n - 1)$$

$$u^{(n)} = l^{(n-1)} + (u^{(n-1)} - l^{(n-1)})F_X(x_n)$$

Precision Problem

- As the sequence length increases, the subinterval becomes smaller and smaller.

$$\perp \rightarrow a_1 \rightarrow a_2 \rightarrow a_3.$$

$$[0, 1] \rightarrow [0.00, 0.70) \rightarrow [0.490, 0.560) \rightarrow [0.5460, 0.5600).$$

- Fixed bit representation (64 bits) for floating points, having more bits for the tag will lead to information loss, and hence incorrect decoding.
- To avoid this, we need to rescale the intervals while preserving the uniqueness of the tag.

Solution Overview

While computing the sub-intervals, we will have 3 cases:

1. The interval is entirely contained in the lower half of the unit interval $[0, 0.5)$ (E_1 rescaling).
2. The interval is entirely contained in the upper half of the unit interval $[0.5, 1.0)$ (E_2 rescaling).
3. The interval straddles the midpoints of the unit interval (E_3 rescaling).

Solution Overview: Incremental Encoding

- The most significant bit of tag in the interval $[0, 0.5)$ has to be **0**.
- The most significant bit of tag in the interval $[0.5, 1)$ has to be **1**.
- Either of these intervals uniquely determines the most significant bit of the tag. We send this bit to the decoder without waiting for subsequent sequence members.
- Now, we take the same proportion as the unit interval inside the sub-interval, and then we also rescale the sub-interval.
- We can safely remove the other half of the unit interval, through the following scaling:

$$E_1 : [0, 0.5) \rightarrow [0, 1); E_1(x) = 2x$$

$$E_2 : [0.5, 1) \rightarrow [0, 1); E_2(x) = 2(x - 0.5)$$

- Since we are sending the most significant bit of the tag whenever the most significant bit of the upper and lower limit of its sub-interval are equal, we are generating the binary representation of the tag itself.
- The scaling operations are just left shifts on the tag.
- This is the same tag as our original encoding, which we have already argued to be unique.

Solution Overview: Decoding

- Initialize $u^{(0)}$ to 1 and $l^{(0)}$ to 0.
- Given the tag value, find the symbol a_k such that the tag is within the interval $[l^{(1)}, u^{(1)})$, where:

$$l^{(1)} = 0 + (1 - 0)F_X(x_n - 1)$$

$$u^{(1)} = 0 + (1 - 0)F_X(x_n)$$

- This element a_k will be the first element in the decoding.
- Repeat this with $[l^{(2)}, u^{(2)})$ and onwards.
- Every time we our interval $[l^{(i)}, u^{(i)})$ satisfies the condition for E_1 scaling or E_2 scaling, we perform the scaling and remove the MSB of the tag.

Example

```
Source:  
Symbols: [1, 2, 3, 4, 5]  
Probabilities: {1: 0.5, 2: 0.25, 3: 0.125, 4: 0.0625, 5: 0.0625}  
  
Cumulative distribution function: {1: 0.5, 2: 0.75, 3: 0.875, 4: 0.9375, 5: 1.0}  
  
Message generated by the source: [3, 1, 4, 3]  
Message length: 4
```

```
Encoding message...  
Original Interval: [0.0, 1.0)  
  
Encoding first symbol  
Current symbol: 3  
 $L_1(1) = 0.0 + (1.0 - 0.0) * 0.75$   
 $U_1(1) = 0.0 + (1.0 - 0.0) * 0.875$   
Updated Interval: [0.75, 0.875)
```


Example

```
E2 Scaling  
L_(1) = 2*(0.75 - 0.5)  
U_(1) = 2*(0.875 - 0.5)  
Adding 1 to the encoding  
Current encoding: 1  
Scaled Interval: [0.5, 0.75)
```

```
E2 Scaling  
L_(1) = 2*(0.5 - 0.5)  
U_(1) = 2*(0.75 - 0.5)  
Adding 1 to the encoding  
Current encoding: 11  
Scaled Interval: [0.0, 0.5)
```

```
E1 Scaling  
L_(1) = 2*0.0  
U_(1) = 2*0.5  
Adding 0 to the encoding  
Current encoding: 110  
Scaled Interval: [0.0, 1.0)
```

Example

```
Current Tag: 0.75  
Current encoding: 110  
Current symbol: 1  
 $L_2 = 0.0 + (1.0 - 0.0) * 0.0$   
 $U_2 = 0.0 + (1.0 - 0.0) * 0.5$   
Updated Interval: [0.0, 0.5)
```

```
E1 Scaling  
 $L_2 = 2 * 0.0$   
 $U_2 = 2 * 0.5$   
Adding 0 to the encoding  
Current encoding: 1100  
Scaled Interval: [0.0, 1.0)
```

Example

Current Tag: 0.75

Current encoding: 1100

Current symbol: 4

$L_-(3) = 0.0 + (1.0 - 0.0) * 0.875$

$U_-(3) = 0.0 + (1.0 - 0.0) * 0.9375$

Updated Interval: [0.875, 0.9375)

E2 Scaling

$L_-(3) = 2 * (0.875 - 0.5)$

$U_-(3) = 2 * (0.9375 - 0.5)$

Adding 1 to the encoding

Current encoding: 11001

Scaled Interval: [0.75, 0.875)

E2 Scaling

$L_-(3) = 2 * (0.75 - 0.5)$

$U_-(3) = 2 * (0.875 - 0.5)$

Adding 1 to the encoding

Current encoding: 110011

Scaled Interval: [0.5, 0.75)

E2 Scaling

$L_-(3) = 2 * (0.5 - 0.5)$

$U_-(3) = 2 * (0.75 - 0.5)$

Adding 1 to the encoding

Current encoding: 1100111

Scaled Interval: [0.0, 0.5)

Example

```
E1 Scaling
L_(3) = 2*0.0
U_(3) = 2*0.5
Adding 0 to the encoding
Current encoding: 11001110
Scaled Interval: [0.0, 1.0)

Current Tag: 0.8046875

Current encoding: 11001110
Current symbol: 3
L_(4) = 0.0 + (1.0 - 0.0)*0.75
U_(4) = 0.0 + (1.0 - 0.0)*0.875
Updated Interval: [0.75, 0.875)

E2 Scaling
L_(4) = 2*(0.75 - 0.5)
U_(4) = 2*(0.875 - 0.5)
Adding 1 to the encoding
Current encoding: 110011101
Scaled Interval: [0.5, 0.75)

E2 Scaling
L_(4) = 2*(0.5 - 0.5)
U_(4) = 2*(0.75 - 0.5)
Adding 1 to the encoding
Current encoding: 1100111011
Scaled Interval: [0.0, 0.5)
```

Example

E2 Scaling

$L_-(4) = 2 \times (0.5 - 0.5)$

$U_-(4) = 2 \times (0.75 - 0.5)$

Adding 1 to the encoding

Current encoding: 1100111011

Scaled Interval: $[0.0, 0.5)$

E1 Scaling

$L_-(4) = 2 \times 0.0$

$U_-(4) = 2 \times 0.5$

Adding 0 to the encoding

Current encoding: 11001110110

Scaled Interval: $[0.0, 1.0)$

Current Tag: 0.8076171875

Generated tag: 0.8076171875

Encoded message: 11001110110

Example

[illegible]

Example

[illegible]

```
Current tag: 0.4609375
L_(2) = 0.0 + (1.0 - 0.0)*0.0
U_(2) = 0.0 + (1.0 - 0.0)*0.5
Decoded symbol: 1
Updated Interval: [0.0,0.5)
Current decoded message [3, 1]
```

[illegible]

```
Current tag: 0.921875
L_3 = 0.0 + (1.0 - 0.0)*0.875
U_3 = 0.0 + (1.0 - 0.0)*0.9375
Decoded symbol: 4
Updated Interval: [0.875,0.9375)
Current decoded message [3, 1, 4]
```

Example

```
E2 Scaling
L_(3) = 2*(0.875 - 0.5)
U_(3) = 2*(0.9375 - 0.5)
Removing MSB from the tag and retaining the word length
Current tag encoding: 1101100000000000000000000000000000
Scaled Interval: [0.75, 0.875)
```

```
E2 Scaling
L_(3) = 2*(0.75 - 0.5)
U_(3) = 2*(0.875 - 0.5)
Removing MSB from the tag and retaining the word length
Current tag encoding: 1011000000000000000000000000000000
Scaled Interval: [0.5, 0.75)
```

```
E2 Scaling
L_(3) = 2*(0.5 - 0.5)
U_(3) = 2*(0.75 - 0.5)
Removing MSB from the tag and retaining the word length
Current tag encoding: 0110000000000000000000000000000000
Scaled Interval: [0.0, 0.5)
```

```
E1 Scaling
L_(3) = 2*0.0
U_(3) = 2*0.5
Removing MSB from the tag and retaining the word length
Current tag encoding: 1100000000000000000000000000000000
Scaled Interval: [0.0, 1.0)
```


Example

```
Current tag: 0.75
L_(4) = 0.0 + (1.0 - 0.0)*0.75
U_(4) = 0.0 + (1.0 - 0.0)*0.875
Decoded symbol: 3
Updated Interval: [0.75,0.875)
Current decoded message [3, 1, 4, 3]
```

E2 Scaling

$$L_1(4) = 2 * (0.75 - 0.5)$$
$$U(4) = 2 * (0.875 - 0.5)$$

Removing MSB from the tag and retaining the word length

```
Current tag encoding: 10000000000000000000000000000000000000000000
```

Scaled Interval: $[0.5, 0.75)$

E2 Scaling

$$L_-(4) = 2 * (0.5 - 0.5)$$
$$U_-(4) = 2 * (0.75 - 0.5)$$

Removing MSB from the tag and retaining the word length

```
Current tag encoding: 00000000000000000000000000000000000000000000
```

Scaled Interval: $[0.0, 0.5)$

E1 Scaling

$$L_-(4) = 2 * 0.0$$
$$U_-(4) = 2 * 0.5$$

Removing MSB from the tag and retaining the word length

```
Current tag encoding: 00000000000000000000000000000000000000000000
```

Scaled Interval: $[0.0, 1.0)$

Example

```
Decoded message: [3, 1, 4, 3]  
Original message: [3, 1, 4, 3]
```

```
Encoding and decoding successful!
```

```
Avg bits per symbol from arithmetic coding: 2.75  
Source Entropy: 1.875  
Percentage difference: 46.666666666666664
```

Thank you

Thank you